



**SHEET METAL & AIR CONDITIONING CONTRACTORS'  
NATIONAL ASSOCIATION**

## **Mini-Split Systems in Residential Retrofit Applications**

---

## ***Notice to Users***

The Sheet Metal and Air Conditioning Contractors' National Association Inc. (SMACNA) provides no guarantees or warranties, either express or implied, with respect to the accuracy and sufficiency of the data, information or forms published in the Mini-Split Systems in Residential Applications. In particular, SMACNA disclaims any implied warranty of merchantability or fitness for particular purpose. SMACNA makes no warranty regarding the results of use of this publication. SMACNA hereby disclaims all responsibility and liability for any loss or damage that may arise from the use of the data or methods used in these guidelines. Under no circumstances shall SMACNA be liable for incidental or consequential damages.

This document was developed under the guidance of the SMACNA Residential Council Steering Committee. Special thanks to former committee members Hank Artlip and Kevin Shekell for their contributions.

## ***SMACNA Residential Council Steering Committee***

- |   |   |
|---|---|
| ■ <b>Paul Heimann</b> , <i>Chair</i><br><i>Welsch Htg. &amp; Cooling Co.</i><br>Saint Louis, MO | ■ <b>Scott Tucker</b><br><i>Tucker Heating and Air Conditioning, Inc.</i><br>Middletown, OH |
| ■ <b>Todd Geisler</b><br><i>Geisler Brothers Co.</i><br>Dubuque, IA                             | ■ <b>Thomas J. Soles, Jr.</b> , <i>Staff Liaison</i><br><i>SMACNA</i><br>Chantilly, VA      |
| ■ <b>Bill Goad</b><br><i>G.P Systems, Inc.</i><br>Chillicothe, IL                               | ■ <b>Michael McCullion</b> , <i>Staff Liaison</i><br><i>SMACNA</i><br>Chantilly, VA         |
| ■ <b>David Katt</b><br><i>Keystone Heating &amp; Air Conditioning Co. Inc.</i><br>Racine, WI    |   |
| ■ <b>Tom Mascari</b><br><i>Mendel Plumbing &amp; Heating, Inc.</i><br>Saint Charles, IL         |   |
| ■ <b>Robert Tuck</b><br><i>Atlas Heating &amp; A/C Co.</i><br>Oakland, CA                       |   |

## **Consultants**

- |   |
|---|
| ■ <b>Lantz Hightower</b><br><i>Gemini Construction, LLC</i><br>Stillwater, OK |
| ■ <b>David Caggiano, P.E.</b><br><i>Robson Forensic</i><br>Lancaster, PA      |
-

## Mini-Split Systems in Residential Retrofit Applications

Mini-split systems are being marketed for installation in new construction in smaller homes but are also being utilized in retrofits. Ductless mini-splits are gaining popularity due to their higher seasonal energy efficiency ratio (SEER) ratings and ease of installation. There are definite benefits to mini-splits, but there are some variables that cannot achieve the same level of performance of a traditional ducted split system. This paper addresses some benefits and limitations of mini-split systems in residential retrofit applications.

**“These systems can be as simple as one outdoor condensing unit connected to one indoor unit, or more advanced systems that utilize one outdoor unit connected to multiple indoor units.”**

For retrofit applications, ductless mini-split cooling only or heat pump systems offer homeowners and contractors good options. These systems utilize refrigerants as the heat flow medium, as do the ducted systems, but as the name implies do not utilize ductwork for distribution. These systems can be as simple as one outdoor condensing unit connected to one indoor unit, or more advanced systems that utilize one outdoor unit connected to multiple indoor units. The 2016 ASHRAE Systems and Equipment handbook Chapter 18 describes the different types of Variable

Refrigerant Flow systems in more detail. These systems utilize a network of refrigerant piping to multiple units to control the comfort of a space.

### Applications

The basic ductless mini-split cooling only system includes an outdoor condensing unit, very similar to conventional split systems. The outdoor unit contains the condenser coil, the compressor, and the fan motor to remove heat from the refrigerant in the coils. The refrigerant piping is installed in the same manner as traditional systems, through a single penetration in the exterior of the home and piped to an interior evaporator coil inside the indoor unit.

The indoor unit contains a fan that draws air from the space across the evaporator coil and returns the air to the space after the heat has been removed from it. In this manner, the mini-split acts the same as traditional systems. The significant difference in a central system is that the distribution fan is much larger, and it forces conditioned air through a system of ductwork.

The above described unit works well for cooling only applications. If heat from the system is needed, most manufacturers offer heat pumps as an alternative. Heat pumps work by extracting heat from the outside air and transferring it via the refrigerant to the indoor unit. This option provides year-round solutions for most of the country. In the northernmost climates, upsizing the system capacity may be an option, or use of another source of heat for supplemental heat may be required.

**“Mini-split systems can also include “mini-ducted” systems that incorporate short runs of ductwork that often allows for a conventional look with only grilles visible.”**

Mini-split systems can also include “mini-ducted” systems that incorporate short runs of ductwork that often allows for a conventional look with only grilles visible. This is done only when accessible attic or under floor space is available. Mini ducted systems can also be used effectively for retrofit projects either for a single zone application (such as a master suite bedroom) or as part of a multi-zone system.

Most manufacturers also have products that allow for multiple indoor units to be placed throughout the home while utilizing a shared outdoor unit. This allows for “zoning” - temperature variations by room or area as desired by occupants. The outdoor unit is sized according to the maximum capacity and usually has a multi-stage or inverter driven compressor that will provide refrigerant flow to where it is needed inside. This is known as variable refrigerant flow (VRF) technology, sometimes called variable refrigerant volume (VRV). It basically alters the amount of refrigerant flowing to each indoor unit according to the needs of the units.

## Energy Efficiency

Ductless mini-splits are gaining popularity based on their high efficiency ratings. Like any advanced technology, as it becomes more widely accepted, the prices will fall according to market saturation and demand. Many government agencies are pushing for a more sustainable future from various angles, but most center on reducing the energy consumption of buildings. Ductless mini-splits currently have one of the highest SEER, EER, and coefficient of performance (COP) rating ranges in the industry, so it can be a wise choice for high performance homes. Advanced inverter and heat pump technologies achieve greater efficiency and energy-savings. This will result in long term savings by way of reduced electricity bills.

**“Each indoor unit designed for ductless mini-split systems must be correctly sized and located within the space to prevent short cycling of conditioned air which also affects air movement and distribution.”**

Each indoor unit designed for ductless mini-split systems must be correctly sized and located within the space to prevent short-cycling of conditioned air which also affects air movement and distribution. This inefficiency is not limited to ductless mini-splits however, as inappropriately sized ducts or inadequately placed registers and grilles will also contribute to short-cycling in conventional systems.

## Pricing

**“Contractors must be able to provide quality estimates of payback periods to educate homeowners on the value of mini-split systems. The complexity of the project will dictate pricing.”**

Equipment costs for mini-split systems can be high but total costs in a retrofit application will be offset with reduced labor costs and less energy use, allowing these installations to stay competitive. Over time, manufacturers will begin to offer new technology at more economical costs. Contractors must be able to provide quality estimates of payback periods to educate homeowners on the value of mini-split systems. The complexity of the project will dictate pricing. For example, a single room addition would include one indoor unit and one outdoor unit, while a larger addition / retrofit may require multiple combinations.

## Coordination of Controls

Most ductless mini-split systems have hand-held remote controls that adjust the temperature and fan settings. Most have functions similar to thermostats, but with individual control—each indoor unit can be operated independently to deliver air comfort only to rooms where needed.

Each interior unit is operated like a basic fan coil unit, with a single thermostat controlling only that unit. The controls for mini-split systems can also be integrated into other controls systems. Smart thermostats and development of interfaces with most 24-volt controls will enable integration with standard equipment, ductless mini-splits, or VRF controlled multi-split systems. This will add to the capital costs as well but integrates the entire household to allow for robust controls.

## **Comfort**

**“Measurable environmental factors, a large part of HVAC design, are air temperature, surface temperature, air motion and humidity.”**

ASHRAE (American Society of Heating, Refrigerating and Air-Conditioning Engineers) defines thermal comfort as “that condition of mind which expresses satisfaction with the thermal environment.” Measurable environmental factors, a large part of HVAC design, are air temperature, surface temperature, air motion and humidity. Typical design principles for HVAC systems include end user comfort needs, climate characteristics and building forms as parameters in selecting equipment.

### ***Temperature***

Ductless mini-split systems when using multiple indoor units provides the ability to control temperature in each space. Each indoor unit has its own thermostat and can be set to different setpoints based on preference or use of space.

### ***Air Motion***

One distinct disadvantage of ductless mini-split systems is the inability to mix air in a large space or multiple rooms. Because the discharge is from a single source, consideration must be given on the exact location of the indoor unit. Traditional ducted systems have registers near exterior walls and windows since the greatest amount of heat gain or loss occurs there. A typical trunk and branch system is designed to allow for even distribution around the home. Ductwork can also be placed in a variety of locations throughout. Effective air movement is highly desired in very cold, warm, and humid climates where airflow is important to controlling room temperature and comfort, but less so in more moderate climates where room temperatures and humidity are more stable.

**“Effective air movement is highly desired in very cold, warm, and humid climates where airflow is important to controlling room temperature and comfort, but less so in more moderate climates where room temperatures and humidity are more stable.”**

### ***Humidity***

The need for humidity control varies throughout the country but is often an important consideration in overall comfort. Mini-split systems do a good job removing humidity but do not have the ability to add humidity. The recommended humidity range in buildings is typically between 30%-60% relative humidity (RH). In low humidity areas of the country and winter time in cold climates a stand-alone humidifier would be required to raise humidity levels.

## Maintenance and Service

The filter and condensate pan are located within the indoor unit therefore each indoor unit requires filter maintenance and condensate pan/drain line cleaning. Multiple indoor units will need this regular maintenance for each unit resulting in increased labor costs. Service of a mini-split outdoor unit is similar to service of a traditional split system outdoor unit.

Nearly all the new HVAC systems require more advanced controls since they communicate continuously with the component of the HVAC system without human intervention. While these systems may require some programming by the HVAC contractor they are now completely “plug and play” from the end user’s perspective. Modern automation controls is a main reason the system is more efficient. However, troubleshooting these systems can be more complicated and time consuming.

## Indoor Air Quality (IAQ)

According to the National Institute of Occupational Safety and Health (NIOSH), inadequate ventilation accounts for 53% of indoor air quality problems. Most ductless mini-split systems cannot address this due to the inability to introduce outside air; however, ventilation systems can be designed separately. Because current building codes and/or construction methods often produce envelopes with higher air tightness values, air will stay circulating locally around the indoor units.

ASHRAE standard 62.2 -2016, Ventilation and Acceptable Indoor Air Quality in Residential Buildings, sets the minimum ventilation rate at 3 CFM for every 100 square feet of inhabitable floor area plus 7.5 CFM multiplied by (#bedrooms +1). This is a minimum amount, but the point is that the home needs some ventilation. This ventilation requirement may be partially satisfied by air infiltration rates. Some indoor units may have the ability to attach an outside air duct. Other methods to ensure ventilation is achieved through installation of systems such as heat recovery ventilators (HRV) or energy recovery ventilators (ERV).

## Aesthetics

Ductless mini-split systems have one or more indoor units, and typically hang high on the wall near the ceiling. It isn’t the most appealing look for a permanent installation, but not as unsightly or inconvenient as a window unit. New mini-split designs have recessed and concealed registers that offer better aesthetics than the typical wall-mounted evaporator units. If aesthetics is an issue for the retrofit, manufacturers do offer options for addressing the location and appearance of the indoor unit.

**“New mini-split designs have recessed and concealed registers that offer better aesthetics than the typical wall-mounted evaporator units.”**

## Noise Levels

Interior units of ductless mini-split systems must be installed in the rooms where conditioned air is provided which will add to the ambient noise levels of the space. This additional indoor noise varies with fan speed and can be similar to or slightly higher than what is expected from ducted systems.

Mini-split systems provide lower levels of sound from outside units. Close lot lines in existing communities, combined with enforcement of noise ordinances, leaves mini-split systems as a good solution for retrofits or additions.

## Installation Methods

**“In this regard, ductless mini-splits offer an excellent solution for additions to existing homes.”**

During renovations, time is certainly a chief constraint as well. The limited labor involved in mini-split systems allow for installations in as little as a day or two for single zone systems. In this regard, ductless mini-splits offer an excellent solution for additions to existing homes. Many consumers elect to expand or refurbish their existing homes rather than build new.

Retrofits of existing construction do not offer the same luxuries of open walls and attics as found in new construction. This is where ductless mini-split systems have a distinct advantage. The installation is limited only by distance of refrigerant piping. However, one drawback to mini-split systems in retrofit applications is finding a practical and aesthetically pleasing way to dispose of the condensate created in cooling mode. For indoor units mounted on exterior walls condensate removal can be relatively simple but when the indoor consoles are installed on interior walls or where there is no adjacent legal disposal area, condensate pumps must sometimes be installed for removal of the condensate to an acceptable location. These pumps may create some noise and maintenance issues over time.

In homes with non-ducted heating systems (hot water or electric radiant) the addition of ductwork is not feasible; therefore, a ductless mini-split system is a good option. A mini-split requires only a small aperture to install the refrigerant and drain lines. This provides an excellent opportunity to utilize a ductless system regardless of the demands of the room. Refrigerant lines can be installed in the wall without damage to the drywall or plaster, very similar to running new electric lines in the wall.

**“Retrofits of existing construction do not offer the same luxuries of open walls and attics as found in new construction.”**

## Conclusions

Ductless mini-split systems are a high performing HVAC technology that incorporate independent and integrated controls and offer increased efficiency. Even at almost double the costs versus packaged terminal air conditioners (PTAC) or window-type air conditioners, the increases in efficiency and comfort make these systems an attractive option in smaller homes, retrofits and additions.

In some retrofits and additions, where ample space and time permit greater flexibility, the ability to customize multi zone systems can be considered. On the other hand, advances in heat pump technology and ground source technology offer similar SEER, EER and COP ratings if capital cost is not an issue.

SMACNA residential contractors are well qualified in diverse applications to provide retrofit customers a whole menu of comfort solutions. Where mini-split systems are not currently part of a contractor's portfolio, they certainly should be considered as an addition.



## Summary Table

The following table is a summary of the characteristics of a mini-split system for a retrofit in residential applications.

Characteristic	Pros	Cons
Efficiency – SEER/EER/HSPF*	Range is higher than standard efficiency conventional systems.	
Efficiency – Energy Consumption	Requires less energy in comparable climates than traditional systems.	
Costs – Capital		\$1,500 to \$2,000 per ton of cooling capacity – 30% more than central systems (without ductwork) – Twice as much as PTACs or window units.**
Costs – Life Cycle	Lower energy consumption eventually has a break-even point.	
Temperature control	Individual temperature control with every indoor unit.	
Circulation		Non-ducted system requires multiple units to achieve whole home climate control.
Humidity		Not a standard feature on mini-split systems.
Filtration/IAQ		Filters are local at each indoor unit, must be changed more frequently than conventional systems. Stratification can also occur due to lack of options for interior install.
Ventilation/IAQ		Stagnation can occur if outside air isn't introduced.
Aesthetics		Indoor units can be obtrusive.
Noise	Not a major concern – relatively quiet based on fan speed.	
Space requirements	No space needed for large AH or ductwork.	Small unit on wall in each room instead of diffusers.
Ease of Installation/Retrofits	Small penetrations and minimal sized copper refrigerant lines. No duct installation needed.	Routing of condensate disposal if on an interior wall.

\* SEER = Seasonal Energy Efficiency Ratio, EER = Energy Efficiency Ratio, HSPF = Heating Seasonal Performance Factor

\*\* <https://www.energy.gov/energysaver/ductless-mini-split-air-conditioners>